



# FE SECURITIES PVT. LTD.

CIN : U74999DL1995PTC069239

SEBI REGN. NO : INZ000240438 : NSE (Capital Market & Derivatives Segment), BSE (Capital Market)  
SEBI REGN. NO : IN-DP-273-2016 : CDS(I)L (Depository Participant)



## Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

### Data for every month ending

S N	Received from	Carried forwar d from previou s month	Receive d during the month	Total Pending	Resol ved*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORE)	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	<b>Grand Total</b>	0	0	0	0	0		0



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## Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	DEC -2021	0	0	0	0
2	JAN-2022	0	0	0	0
3	FEB-2022	0	0	0	0
4	MAR-2022	0	0	0	0
5	APR-2022	0	0	0	0
6	MAY-2022	0	0	0	0
7	JUNE-2022	0	0	0	0
8	JULY-2022	0	0	0	0
9	AUG-2022	0	0	0	0
10	SEP-2022	0	0	0	0
11	OCT-2022	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
	<b>Grand Total</b>	0	0	0	0