

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending – FEBRUARY 2024

S N	Received from	Carried forwar d from previou s month	Receive d during the month	Total Pending	Resol ved*	Pending at the end of the month** Pending Pending		Average Resoluti on time^ (in days)
						for less than 3 months	for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORE)	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	Grand Total	0	0	0	0	0		0

Regd. Office : 814, Arunachal Building, 19, Barakhamba Road, New Delhi-110001 • Ph.: 011-23350670, 23350672 • Mob.: 9910334461 E-mail : fes@fesecurities.in • Compliance Officer : Anil Kumar, Ph.: 011-23350670

Investor Grievance : nsecomplaint@fesecurities.in, bsecomplaint@fesecurities.in, cdslcomplaint@fesecurities.in



Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	DEC -2021	0	0	0	0
2	JAN-2022	0	0	0	0
3	FEB-2022	0	0	0	0
4	MAR-2022	0	0	0	0
5	APR-2022	0	0	0	0
6	MAY-2022	0	0	0	0
7	JUNE-2022	0	0	0	0
8	JULY-2022	0	0	0	0
9	AUG-2022	0	0	0	0
10	SEP-2022	0	0	0	0
11	OCT-2022	0	0	0	0
12	NOV-2022	0	0	0	0
13	DEC-2022	0	0	0	0
14	JAN-2023	0	0	0	0
15	FEB-2023	0	0	0	0
16	MAR-2023	0	0	0	0
17	APR-2023	0	0	0	0
18	MAY 2023	0	0	0	0
19	JUNE 2023	0	0	0	0
20	JULY 2023	0	0	0	0
21	AUGUST 2023	0	0	0	0
22	SEPTEMBER 2023	0	0	0	0
23	OCTOBER 2023	0	0	0	0
24	NOVEMBER 2023	0	0	0	0
25	DECEMBER 2023	0	0	0	0
26	JANUARY 2024	0	0	0	0
27	FEBRUARY 2024	0	0	0	0
28	MARCH 2024	0	0	0	0
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
	Grand Total	0	0	0	0

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