

Annexure-B

Complaints Data by Stock Brokers and Depository Participants

Data for the month ending – SEPTEMBER 2025

Sr No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3months	Pending for more than 3months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI (SCORE)	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	Grand Total	0	0	0	0	0		0

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Trend of monthly disposal of complaints

SN	Month	Carried forward From previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	MARCH 2024	0	0	0	0
2	APRIL 2024	0	0	0	0
3	MAY 2024	0	0	0	0
4	JUNE 2024	0	0	0	0
5	JULY 2024	0	0	0	0
6	AUGUST 2024	0	0	0	0
7	SEPTEMBER 2024	0	0	0	0
8	OCTOBER 2024	0	0	0	0
9	NOVEMBER 2024	0	0	0	0
10	DECEMBER 2024	0	0	0	0
11	JANUARY 2025	0	0	0	0
12	FEBRUARY 2025	0	0	0	0
13	MARCH 2025	0	0	0	0
14	APRIL 2025	0	0	0	0
15	MAY 2025	0	0	0	0
16	JUNE 2025	0	0	0	0
17	JULY 2025	0	0	0	0
18	AUGUST 2025	0	0	0	0
19	SEPTEMBER 2025	0	0	0	0
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of The year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
	Grand Total	0	0	0	0